

DISCLOSURE DIVISION

☒ **WAIVER REQUEST**
☐ **ANSWER**
☐ **RECONSIDERATION REQUEST**
☐ **UNTIMELY**

DATE: 12/11/2020

DOCKET #: 2020-907

Ashley Wimberley, Director
Disclosure Division

FILER INFORMATION

Name: Mr. Harrison Carter Leak, IV
Address: 107 Longleaf Lane, St. Francisville, LA 70775
Office/Position: Louisiana Real Estate Appraisal Board
Dates of Service: 2018-2019
Number of Disclosures and/or Amendments Filed with Agency: 2

REPORT INFORMATION

Name of Report: Tier 2.1 Annual Personal Financial Disclosure covering calendar year 2018
Report ID: PFD20001315
Original Due Date: 5/15/2019
NOD Received: 2/8/2020 - Signed By: Brittany Leak
PFD/Answer Due Date based on NOD: 2/18/2020
PFD/Answer Filed: 3/5/2020

LATE FEE INFORMATION

Amount of Late Fee: \$800
Days late from receipt of NOD: 16
Total days late from initial due date: 295
Late Fee Order Received: 11/18/2020
Payment/Waiver Request Due Date: 12/8/2020
Waiver Request Received: 12/4/2020

COMMENTS:

Harrison Carter Leak is requesting a waiver for the late filing of his 2018 PFD. Mr. Leak's stated he joined the Real Estate Appraisers Board in October 2018 in order to serve the State of Louisiana on behalf of the Louisiana Banking Industry. He is not paid for his service on the board, with the exception of being reimbursed for minor expenses. When going through board member orientation, he wasn't provided information regarding filing PFD's. When he consulted with the REA board about this, the board informed him that they communicate the annual BOE filing requirements during the first half of the year, but the board did not believe it was necessary since he just joined late in the year.

Mr. Leaks stated if something was mailed, he did not receive it, as he has had significant trouble getting mail delivered to a rural area, in a new subdivision. Since he moved there in 2018, he is still the only home in the subdivision and that same year the developer changed the name of the street. When he received the Notice of Delinquency early 2020 (2/8/2020), he submitted the PFD as soon as possible. He stated is not trying to hide any related interests or have any financial gains. He apologizes for the misunderstanding on his part, and requests the Ethics Board to have "mercy by waiving this late fee assessment". He thanks the Ethics Board for their consideration.

This is Mr. Leaks first late fee and first late fee assessment.

OTHER LATE FEE INFORMATION

Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

H. Carter Leak IV
107 Longleaf Lane
St. Francisville, LA 70775
November 28, 2020

Louisiana Board of Ethics
PO Box 4368
Baton Rouge, LA 70821

Dear Donna:

I joined the Louisiana Real Estate Appraisers Board on October 26, 2018 in order to serve the State of Louisiana on behalf of the Louisiana Banking Industry. I am not paid for my service (except minor expense reimbursements).

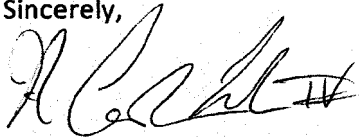
As I joined the Louisiana Real Estate Appraisers Board during the 4th quarter of 2018, I went through an orientation at the Board Office. I was not aware of any request to provide a Personal Financial Statement to the Board of Ethics. If this was sent through the mail, I don't believe that I received it because we have had significant trouble getting our mail delivered timely (I built a home in a rural portion of the state and moved in during late 2018 and I am still the only home in a new subdivision. In addition, the developer changed the name of the street in 2018).

When I consulted with the Louisiana Real Estate Appraisers Board about how this could happen, they communicated that they remind the Board during the first half of the year on an annual basis and they would believe it wouldn't be necessary in 2018 since I joined the Board so late in the year.

I apologize for any misunderstanding on my part, and I request your mercy by waiving this late fee assessment. When I did receive the letter in early 2020, I submitted the Financial Statement as soon as possible, and, as you can see, I was not trying to hide any related interests or have any possible financial gain.

Thank you again for your consideration.

Sincerely,



H. Carter Leak IV

ETHICS BOARD RECD
DEC 7 '20 PM 1:17